

P23559.P01

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. P23559 Total Pages

Inventor(s) or Application Identifier

Satoshi KIDOOKA

Title: TREATMENT TOOL FOR ENDOSCOPE HAVING
END EFFECTOR OPERATING LIKE PINCERS

ADDRESS TO:
**Commissioner for Patents
Mail Stop Patent Application
PO Box 1450
Alexandria, VA 22313-1450**

32190 U.S. PTO 616970
07/11/03

APPLICATION ELEMENTS		ACCOMPANYING APPLICATION PARTS	
1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)		9. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)	
2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.		a. <input type="checkbox"/> Small Entity Statement(s)	
3. <input checked="" type="checkbox"/> Specification [Total Pages 22] (preferred arrangement set forth below) - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure		b. <input type="checkbox"/> Computer Readable Form (CRF) c. <input type="checkbox"/> Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper d. <input type="checkbox"/> Statements verifying identity of above copies	
4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets 7]		10. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))	
5. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages 3]		11. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee) <input type="checkbox"/> Power of Attorney	
a. <input checked="" type="checkbox"/> Newly executed (original or copy) <input type="checkbox"/> Unexecuted b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 20 completed) [Note Box 6 below]		12. <input type="checkbox"/> English Translation Document (if applicable)	
i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).		13. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations	
6. <input type="checkbox"/> Incorporation By Reference (useable if Box 5b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.		14. <input type="checkbox"/> Preliminary Amendment	
7. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76		15. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)	
8. <input type="checkbox"/> CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix)		16. <input checked="" type="checkbox"/> Figure of Drawing to be published 6	
		17. <input checked="" type="checkbox"/> Foreign priority claimed	
		a. <input checked="" type="checkbox"/> Claim of Priority b. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s)	
		18. <input checked="" type="checkbox"/> Assignee: PENTAX Corporation of Tokyo, JAPAN	
		19. <input checked="" type="checkbox"/> Other: Cover Letter Regarding Executed Application <hr/> <hr/> <hr/>	
20. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior Application No. / , filed _____ <i>Prior application information: Examiner: _____ Group Art Unit: _____</i>			
21. <input type="checkbox"/> Amend the specification by inserting before the first line the sentence: This application is a continuation-in-part, continuation, divisional, of Application No. / , filed _____			

Address all future correspondence to **Customer No. 7055** at the present address of:

GREENBLUM & BERNSTEIN, P.L.C.
 1950 Roland Clarke Place
 Reston, VA 20191
 (703) 716-1191

7/11/03

Date

*Bruce H. Bernstein Reg. No. 29,027
Signature 33,329*

Bruce H. Bernstein, Reg. No. 29,027
Typed or Printed Name

07055

PATENT TRADEMARK OFFICE

FEE TRANSMITTAL

Complete if Known

Application Number	Not Yet Assigned
Filing Date	Concurrently Herewith
First Named Inventor	Satoshi KIDOOKA
Group Art Unit	Unassigned
Examiner Name	Unassigned

TOTAL AMOUNT OF PAYMENT (\$790.00)

Attorney Docket Number P23559

METHOD OF PAYMENT (check one)

- The U.S. Patent and Trademark Office is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 19-0089

Deposit Account Name GREENBLUM & BERNSTEIN,
P.L.C.

- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17, including any required extension of time fees in any concurrent or future reply requiring a petition for extension of time for its timely submission (37 CFR 1.136(a)(3))

Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b)

Applicant Claims Small Entity Status See 37 CFR 1.27.

2. Payment Enclosed:

Check Credit Card Money Order Other

FEE CALCULATION (fees effective 01/01/03)

1. FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 750	2001 375	Utility filing fee	\$750.00
1002 330	2002 165	Design filing fee	
1003 520	2003 260	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	

SUBTOTAL (1) (\$) 750.00

2. CLAIMS

Total Claims	-20-	Extra 0	Fee from below x 18 =	Fee Paid 0.00
Independent	-1-	0	x 84 =	0.00
Claims				
Multiple Dependent Claims	0	x 280	=	0.00

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 84	2201 42	Independent claims in excess of 3
1203 280	2203 140	Multiple dependent claim
1204 84	2204 42	Reissue independent claims over original patent
1205 18	2205 9	Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 0.00

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for reexamination	
1804 920*	1804 920*	Requesting publication of SIR	
1805 1,840*	1805 1,840*	Prior to Examiner action	
		Requesting publication of SIR after	
1251 110	2251 55	Examiner action	
1252 410	2252 205	Extension for response within 1st month	
1253 930	2253 465	Extension for response within 3rd month	
1254 1,450	2254 725	Extension for response within 4th month	
1255 1,970	2255 985	Extension for response within 5th month	
1401 320	2401 160	Notice of Appeal	
1402 320	2402 160	Filing a brief in support of an appeal	
1403 280	2403 140	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive unavoidably abandoned application	
1453 1,300	2453 650	Petition to revive unintentionally abandoned application	
1501 1,300	2501 650	Utility issue fee (or reissue)	
1502 470	2502 235	Design issue fee	
1503 630	2503 315	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Petitions related to provisional applications	
1806 180	1806 180	Submission of IDS	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	\$40.00
1809 750	2809 375	Filing a submission after final rejection	
1810 750	2810 375	(37 CFR 1.129(a))	
		For each additional invention to be examined (37 CFR 1.129(b))	
1801 750	2801 375	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	
		Other fee (specify)	
		Other fee (specify)	
		SUBTOTAL (3) (\$) 40.00	

*Reduced by Basic Filing Fee paid

SUBMITTED BY

Typed or Printed Name

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Reg. Number

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19-0089

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Satoshi KIDOOKA

Applications Branch

Appln. No. : Not yet assigned

Filed : Concurrently herewith

For : TREATMENT TOOL FOR ENDOSCOPE HAVING END EFFECTOR
OPERATING LIKE PINCERS

COVER LETTER REGARDING EXECUTED APPLICATION

Commissioner for Patents
Alexandria, VA 22313-1450

Sir:

The undersigned points out that the executed application being filed herewith includes pages 1 - 3 of the executed declaration which were forwarded to the undersigned by facsimile by Applicant's Japanese representative on July 10, 2003, and pages 1 - 22 of the specification (with Figures 1 - 8) which were earlier forwarded to the undersigned by Applicant's Japanese representative on July 3, 2003. Further, the undersigned has been advised by the Japanese representative that the inventor had reviewed the application before executing the declaration.

Accordingly, Applicant respectfully submits that the present application is properly executed and should be considered as filed in executed form. However, if the U.S. Patent and Trademark Office determines that the present application is not properly executed,

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Applicant respectfully requests that the present application be treated as an unexecuted application under 37 C.F.R. 1.53(f).

Should there be any questions regarding this paper, please contact the undersigned at the below listed number.

Respectfully submitted,
Satoshi KIDOOKA


Bruce H. Bernstein Reg. No. 33,329
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July 10, 2003
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